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PATENT
ATTORNEY DOCKET NO. 4797-34

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: BRESLOW, Stuart Lee et al.

Serial No.: 09/476,448

Examiner: RUDY, Andrew J.

Filed: December 30, 1999

Group Art Unit: 3627

Title: **ON-LINE TRADING SYSTEM**

January 7, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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GROUP 3600

INFORMATION DISCLOSURE STATEMENT

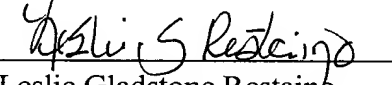
Sir:

Applicants respectfully submit herewith an Information Disclosure Statement (IDS) and an Information Disclosure Citation List, pursuant to 37 C.F.R. §§ 1.97 and 1.98. In the Office Actions dated February 11, 2003 and September 11, 2003, in connection with the above-identified application, the Examiner asserted that the "OTHER DOCUMENTS" submitted in the IDS filed on March 29, 2000 have not been reviewed since none were included with the US references. Applicants submit herewith the present IDS, IDS Citation List, and copies of the references cited under "OTHER DOCUMENTS" in the March 29, 2000 IDS. A copy of the March 29, 2000 IDS and a copy of the postcard filed therewith indicating that the documents were sent to and received by the USPTO are also submitted.

The Examiner is requested to consider the enclosed documents, make them of record, and indicate his or her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. Please return a copy of the initialed Citation form to the applicant's undersigned Attorney.

Since the documents listed in the Citation List were submitted previously prior to the first office action on the merits, Applicants request that the Examiner accept the IDS without the fee set forth in 37 C.F.R. 1.17(p). Otherwise, the Examiner is authorized to charge \$~~180.00~~ fee for the submission of an IDS as well as any deficiency or credit any overpayment to Deposit Account No. 02-4270.

Respectfully submitted,


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